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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/935,255	08/22/2001	Ronald A. Weimer	MTI-31529	1208

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INTELLECTUAL PROPERTY DEPARTMENT
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EXAMINER

CHEN, JACK S J

ART UNIT	PAPER NUMBER
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2893

NOTIFICATION DATE	DELIVERY MODE
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04/29/2010

ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

jpolmatier@whdlaw.com

Office Action Summary	Application No. 09/935,255	Applicant(s) WEIMER, RONALD A.	
	Examiner Jack Chen	Art Unit 2893	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 January 2010.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-5, 7-57, 73, 75-81, 96 and 98-121 is/are pending in the application.
- 4a) Of the above claim(s) 15, 22-57, 73, 75-81, 83-96, 101, 102 and 107-111 is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-5, 7-14, 16-21, 98-100, 103-106 and 112 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

In view of the appeal brief filed on January 15, 2010, PROSECUTION IS HEREBY REOPENED. New grounds of rejection are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

/Davienne Monbleau/
Supervisory Patent Examiner, Art Unit 2893

Claim status

- 1) Claims canceled: 6, 58-72, 74, 82 and 97
- 2) Claims pending: 1-5, 7-57, 73, 75-81, 96 and 98-121
- 3) Claims withdrawn from further consideration: 15, 22-57, 73, 75-81, 83-96, 101, 102, 107-111 and 113-121
- 4) **Claims Active: 1-5, 7-14, 16-21, 98-100, 103-106 and 112**

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1, 5, 7, 8, 18, 98-100, 103 and 106 are rejected under 35 U.S.C. 102(b) as being anticipated by Aronowitz et al., US/6,087,229.

Re claims 1, 5, 8 Aronowitz et al. discloses a method of forming a nitride barrier layer, comprising the steps of: exposing/irradiating a dielectric material 204 to a silicon-containing gas under low partial pressure (layer 206 in fig. 2C is formed by exposure of an oxide layer to a silicon gas under low partial pressure and high vacuum --because low-pressure CVD (LPCVD) is used-- to form a silicon layer 206) to deposit/nucleate a continuous layer of silicon 206 over the dielectric material (fig. 2C); and exposing the silicon layer to a nitrogen-containing gas to nitridize the silicon layer to form a continuous silicon nitride barrier layer 206 over the dielectric material effective to inhibit passage of a dopant (note: this is the intrinsic properties of the nitride material) into the dielectric material (fig. 2D), see figs. 1A-5D and cols. 1-10 for more details.

Re claim 7, Aronowitz et al. discloses a method of forming a nitride barrier layer, comprising the steps of: exposing/irradiating a dielectric material 204 to a silicon-containing gas under low partial pressure (layer 206 in fig. 2C is formed by exposure of an oxide layer to a silicon gas under low partial pressure and high vacuum --because low-pressure CVD (LPCVD) is used-- to form a silicon layer 206) to deposit/nucleate a continuous layer of silicon 206 over the dielectric material (fig. 2C); and nitridizing the silicon layer in a nitrogen-containing gas to

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form a continuous silicon nitride barrier layer 206 (fig. 2D) effective to inhibit passage of a dopant (note: this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1A-5D and cols. 1-10 for more details.

Re claim 18, Aronowitz et al. discloses a method of forming a nitride barrier layer, comprising the steps of: depositing a continuous silicon layer 206 onto a dielectric material 204 (fig. 2C) by exposing the dielectric material 204 to a silicon-containing gas under low partial pressure (layer 206 in fig. 2C is formed by exposure of an oxide layer to a silicon gas under low partial pressure and high vacuum --because low-pressure CVD (LPCVD) is used-- to form a silicon layer 206) and thermally annealing/nitridized the silicon layer in a nitrogen-containing gas to form the nitride barrier layer, said barrier layer effective to inhibit passage of a dopant (note: this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1A-5D and cols. 1-10 for more details.

Re claim 98, wherein the silicon-containing gas is selected from the group consisting of dichlorosilane, silicon tetrachloride, silane, and disilane (i.e., silane, col. 5, lines 45-46).

Re claim 99, wherein the step of exposing the dielectric material to the silicon gas comprises chemical vapor deposition of the silicon gas (col. 5, lines 44-55).

Re claim 100, wherein the step of exposing the dielectric material to the silicon gas comprises rapid thermal chemical vapor deposition of the silicon gas (col. 5, lines 44-55, i.e., the deposition rate shown in the prior art is considered as rapid).

Re claim 103, wherein the step of exposing the silicon layer comprises thermally annealing/nitridization the silicon layer in a nitrogen-containing gas (col. 5-6).

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Re claim 106, wherein the nitrogen-containing gas is selected from the group consisting of nitrogen, ammonia, nitrogen trifluoride, nitrogen oxide, and a nitrogen-helium mixture (i.e., N₂, col. 6, lines 25-30).

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

5. Claims 2-4, 9-14, 16-17, 19-21, 104-105 and 112 are rejected under 35 U.S.C. 103(a) as being unpatentable over Aronowitz et al., US/6,087,229 in view of Muralidhar et al., U.S./6,297,095 B1.

With respect to claims 2-4, 9-14, 16-17, 19, 104 and 112; Aronowitz is silent to the claimed conditions, such as pressure, temperature, during/time as shown in the instant claims.

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Muralidhar discloses a method of forming the similar nitride barrier layer having the claimed process parameters (i.e., pressure, temperature and/or time/duration), comprising the steps of: exposing a dielectric material 14/102 to a silicon-containing gas under low partial pressure to deposit a layer of silicon 15/16/17/18/19/21/103/104 (figs. 6-10, 21-22, col. 10, lines 25-65) over the dielectric material; and exposing the silicon layer to a nitrogen-containing gas to nitridize the silicon layer to form a continuous silicon nitride barrier layer 106/107 (figs. 23-25; col. 16, lines 19-36) over the dielectric material effective to inhibit passage of a dopant (note: inherently shows this because this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1-28 and cols. 1-22 for more details.

Re claim 2, wherein the dielectric material is exposed to the silicon-containing gas at a partial pressure of about 10^{-2} Torr or less (col. 11, lines 37-50).

Re claim 3, wherein the dielectric material is exposed to the silicon-containing gas at a partial pressure of about 10^{-2} to about 10^{-7} Torr (col. 11, lines 37-50).

Re claim 4, wherein the dielectric material is exposed to the silicon-containing gas at a temperature of about 500°C to about 700°C (col. 10, lines 35-58).

Re claim 9, a method of forming a nitride barrier layer, comprising the steps of: exposing a dielectric material 14/102 to a silicon-containing gas at a partial pressure of about 10^{-2} Torr or less (col. 11, lines 37-50) to deposit a layer of silicon 15/16/17/18/19/21/103/104 thereon; and nitridizing the silicon layer to form a continuous silicon nitride barrier layer 106/107 (figs. 23-25; col. 16, lines 19-36) effective to inhibit passage of a dopant (note: inherently shows this because this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1-28 and cols. 1-22 for more details.

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Re claim 10, wherein the dielectric material is exposed to the silicon-containing gas at a temperature of about 500°C to about 700°C (col. 10, lines 35-58).

Re claim 11, wherein the silicon-containing gas is selected from the group consisting of dichlorosilane, silicon tetrachloride, silane, and disilane (col. 10, lines 25-35).

Re claim 12, wherein exposing the dielectric material to the silicon-containing gas is by plasma enhanced chemical vapor deposition, low pressure chemical vapor deposition, or rapid thermal chemical vapor deposition (col. 10, lines 14-58).

Re claim 13, wherein the silicon-containing gas is deposited by rapid thermal chemical vapor deposition (col. 5, lines 47-67) at about 500°C. to about 700°C (i.e., 600°C, col. 10, lines 14-58)..

Re claim 14, wherein the dielectric material comprises silicon dioxide (col. 7, lines 49-55).

Re claim 16, a method of forming a nitride barrier layer, comprising the steps of: exposing a dielectric material to a silicon-containing gas at a partial pressure of about 10^{-2} to about 10^{-7} Torr (i.e., 10^{-2} Torr, col. 11, lines 37-50) to nucleate the dielectric material 14/102 and form a layer of silicon 15/16/17/18/19/21/103/104 (figs. 6-10, 21-22, col. 10, lines 25-65); and exposing the silicon layer to a nitrogen-containing gas to form a continuous silicon nitride barrier layer 106/107 (figs. 23-25; col. 16, lines 19-36) effective to inhibit passage of a dopant (note: inherently shows this because this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1-28 and cols. 1-22 for more details.

Re claim 17, a method of forming a nitride barrier layer, comprising the steps of: exposing a dielectric material 14/102 to a silicon-containing gas at a partial pressure of about 10^{-2}

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to about 10^{-7} Torr (i.e., 10^{-2} Torr, col. 11, lines 37-50), a temperature of about 500°C . to about 700°C . (i.e., 600°C , col. 10, lines 35-58) and a duration of about 1 second to about 5 minutes (i.e., 30 seconds, col. 10, lines 35-58), to nucleate the dielectric material and form a layer of silicon 15/16/17/18/19/21/103/104 (figs. 6-10, 21-22, col. 10, lines 25-65); and exposing the silicon layer to a nitrogen-containing gas to form a continuous silicon nitride barrier layer 106/107 (figs. 23-25; col. 16, lines 19-36) effective to inhibit passage of a dopant (note: inherently shows this because this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1-28 and cols. 1-22 for more details.

Re claim 19, a method of forming a nitride barrier layer, comprising the steps of: depositing a silicon layer 15/16/17/18/19/21/103/104 (figs. 6-10, 21-22, col. 10, lines 25-65) onto a dielectric material 14/102 by exposing the dielectric material to a silicon-containing gas under low partial pressure, and exposing the silicon layer to a nitrogen-containing gas at a temperature of about 700°C . to about 900°C . to nitridize the silicon layer (figs. 23-25; col. 16, lines 19-36) to form the nitride barrier layer, said barrier layer effective to inhibit passage of a dopant (note: inherently shows this because this is the intrinsic properties of the nitride material) into the dielectric material, see figs. 1-28 and cols. 1-22 for more details.

Re claim 104, wherein the step of exposing the silicon layer comprises a temperature of about 700°C . to about 900°C (col. 16, lines 19-37).

Re claim 112, wherein the step of exposing the dielectric material comprises a partial pressure of about 10^{-2} to about 10^{-7} Torr (i.e., 10^{-2} Torr, col. 11, lines 37-50), a temperature of about 500°C . to about 700°C . (i.e., 600°C , col. 10, lines 35-58) and a duration of about 1 second to about 5 minutes (i.e., 30 seconds, col. 10, lines 35-58).

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Therefore, the subject matter as a whole would have been obvious to one having ordinary skill in the art at the time the invention was made to select any suitable processing conditions, such as pressure, temperature, duration range as taught by Muralidhar in the method of Aronowitz et al. in order to form a barrier layer and optimize the processes. Furthermore, the specification contains no disclosure of either the critical nature of the claimed process (i.e. the claimed pressure, temperature, and/or duration) or any unexpected results arising therefrom. Where patentability is said to be based upon particular chosen limitations or upon another variable recited in a claim, the Applicant must show that the chosen limitations are critical. *In re Woodruff*, 919 F.2d 1575, 1578 (Fed. Cir. 1990).

Furthermore, the processing conditions such as pressure, temperature, and/or duration range are considered to involve routine optimization while has been held to be within the level of ordinary skill in the art. As noted in *In re Aller*, the selection of reaction parameters such as temperature and concentration would have been obvious:

“Normally, it is to be expected that a change in temperature, or in concentration, or in both, would be an unpatentable modification. Under some circumstances, however, changes such as these may impart patentability to a process if the particular ranges claimed produce a new and unexpected result which is different in kind and not merely degree from the results of the prior art...such ranges are termed Acritical ranges and the applicant has the burden of proving such criticality.... More particularly, where the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation.”

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In re Aller 105 USPQ233, 255 (CCPA 1955). See also *In re Waite* 77 USPQ 586 (CCPA 1948); *In re Scherl* 70 USPQ 204 (CCPA 1946); *In re Irmischer* 66 USPQ 314 (CCPA 1945); *In re Norman* 66 USPQ 308 (CCPA 1945); *In re Swenson* 56 USPQ 372 (CCPA 1942); *In re Sola* 25 USPQ 433 (CCPA 1935); *In re Dreyfus* 24 USPQ 52 (CCPA 1934).

Therefore, one of ordinary skill in the requisite art at the time the invention was made would have used any pressure, temperature and/or duration range suitable to the method in process of Aronowitz in order to optimize the process.

With respect to claims 20-21, and 105; Aronowitz et al. and Muralidhar et al. disclosed above; however, both of them are silent to the flow rate and duration of the nitrogen-containing gas as required in claims 20-21 and 105. The claimed ranges of flow rate and time/duration, absent evidence of disclosure of criticality for the range giving unexpected results are considered to involve routine optimization while has been held to be within the level of ordinary skill in the art. As noted in *In re Aller* 220 F.2d 454, 456, 105 USPQ 233, 235 (CCPA 1955), the selection of reaction parameters such as flow rage, time/duration would have been obvious. See also *In re Waite* 77 USPQ 586 (CCPA 1948); *In re Scherl* 70 USPQ 204 (CCPA 1946); *In re Irmischer* 66 USPQ 314 (CCPA 1945); *In re Norman* 66 USPQ 308 (CCPA 1945); *In re Swenson* 56 USPQ 372 (CCPA 1942); *In re Sola* 25 USPQ 433 (CCPA 1935); *In re Dreyfus* 24 USPQ 52 (CCPA 1934).

Therefore, the subject matter as a whole would have been obvious to one having ordinary skill in the art at the time the invention was made to select any suitable flow rate and exposing time in the method of Aronowitz et al. taken with Muralidhar in order to nitridize the silicon layer. Furthermore, the specification contains no disclosure of either the critical nature of the

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claimed process (i.e. the flow rate of 100-10000 sccm for about 1 second to about 180 minutes) or any unexpected results arising therefrom. Where patentability is said to be based upon particular chosen limitations or upon another variable recited in a claim, the Applicant must show that the chosen limitations are critical. *In re Woodruff*, 919 F.2d 1575, 1578 (Fed. Cir. 1990).

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jack Chen whose telephone number is (571)272-1689. The examiner can normally be reached on Monday-Friday (8:00am-4:30pm).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Davienne N. Monbleau can be reached on (571)272-1945. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR

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system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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